

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

HARRINGTON GLOBAL OPPORTUNITY FUND,  
LIMITED

Plaintiff,

- against -

CIBC WORLD MARKETS CORP., CIBC WORLD  
MARKETS INC., BOFA SECURITIES, INC., MERRILL  
LYNCH CANADA INC., MERRILL LYNCH  
PROFESSIONAL CLEARING CORP., TD SECURITIES,  
INC., TD SECURITIES(USA) LLC, UBS FINANCIAL  
SERVICES, INC., UBS SECURITIES CANADA, INC.,  
SOCIETE GENERALE CAPITALE CANADA, INC., SG  
AMERICAS SECURITIES, LLC, and JOHN DOES 1  
THROUGH 10.

Defendants

No: 21-cv-00761-LGS


**STIPULATION AND ORDER**

**IT IS HEREBY STIPULATED AND AGREED** by and between the attorneys for the  
undersigned parties that:

1. Bank of America Securities, Inc. was named as a Defendant in the original  
Complaint in this action;
2. There is no corporate entity known as Bank of America Securities, Inc.;
3. Plaintiff has agreed to drop Bank of America Securities, Inc. as a party to  
this action and has asserted no claims against Bank of America Securities, Inc. in the  
Amended Complaint;
4. Plaintiff seeks to add BofA Securities, Inc. as a party to this action and has  
pleaded claims against BofA Securities, Inc. in the Amended Complaint;

5. BofA Securities Inc. through its undersigned counsel, does not object to being added as a party to this action and has agreed to accept service of the Amended Complaint on the date this Stipulation is executed.


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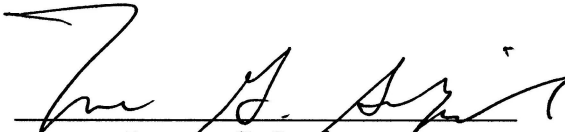
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*Attorney for Defendants BofA Securities,  
Inc. Merrill Lynch Canada Inc., and  
Merrill Lynch Professional Clearing Corp.*

SO ORDERED

Dated: April 28, 2021  
New York, New York

  
LORNA G. SCHOFIELD  
UNITED STATES DISTRICT JUDGE